

**Kay Sun**

Department of Surgery,  
University of California, San Francisco, CA;  
Department of Veterans Affairs Medical Center,  
San Francisco, CA

**Nielen Stander**

Livermore Software Technology Corporation,  
Livermore, CA

**Choon-Sik Jhun****Zhihong Zhang****Takamaro Suzuki**

Department of Surgery,  
University of California, San Francisco, CA;  
Department of Veterans Affairs Medical Center,  
San Francisco, CA

**Guan-Ying Wang**

Department of Radiology,  
University of California, San Francisco, CA;  
Department of Veterans Affairs Medical Center,  
San Francisco, CA

**Maythem Saeed**

Department of Radiology,  
University of California, San Francisco, CA

**Arthur W. Wallace**

Department of Anesthesia,  
University of California, San Francisco, CA;  
Department of Veterans Affairs Medical Center,  
San Francisco, CA

**Elaine E. Tseng**

Department of Surgery,  
University of California, San Francisco, CA;  
Department of Veterans Affairs Medical Center,  
San Francisco, CA

**Anthony J. Baker****David Saloner**

Department of Radiology,  
University of California, San Francisco, CA;  
Department of Veterans Affairs Medical Center,  
San Francisco, CA

**Daniel R. Einstein**

Biological Monitoring and Modeling,  
Pacific Northwest National Laboratory,  
Olympia, WA

**Mark B. Ratcliffe****Julius M. Guccione<sup>1</sup>**

Department of Surgery,  
University of California, San Francisco, CA;  
Department of Veterans Affairs Medical Center,  
San Francisco, CA

# A Computationally Efficient Formal Optimization of Regional Myocardial Contractility in a Sheep With Left Ventricular Aneurysm

*A noninvasive method for estimating regional myocardial contractility in vivo would be of great value in the design and evaluation of new surgical and medical strategies to treat and/or prevent infarction-induced heart failure. As a first step toward developing such a method, an explicit finite element (FE) model-based formal optimization of regional myocardial contractility in a sheep with left ventricular (LV) aneurysm was performed using tagged magnetic resonance (MR) images and cardiac catheterization pressures. From the tagged MR images, three-dimensional (3D) myocardial strains, LV volumes, and geometry for the animal-specific 3D FE model of the LV were calculated, while the LV pressures provided physiological loading conditions. Active material parameters ( $T_{\max_B}$  and  $T_{\max_R}$ ) in the noninfarcted myocardium adjacent to the aneurysm (border-zone) and in the myocardium remote from the aneurysm were estimated by minimizing the errors between FE model-predicted and measured systolic strains and LV volumes using the successive response surface method for optimization. The significant depression in optimized  $T_{\max_B}$  relative to  $T_{\max_R}$  was confirmed by direct ex vivo force measurements from skinned fiber preparations. The optimized values of  $T_{\max_B}$  and  $T_{\max_R}$  were not overly sensitive to the passive material parameters specified. The computation time of less than 5 h associated with our proposed method for estimating regional myocardial contractility in vivo makes it a potentially very useful clinical tool.*

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*Keywords:* tagged magnetic resonance imaging, finite element modeling, numerical optimization, cardiac mechanics

## 1 Introduction

2 A noninvasive method for estimating regional myocardial con-  
3 tractility is a “holy grail” of cardiology. Such a method would be

of great value in the design and evaluation of new surgical and  
medical strategies to treat and/or prevent infarction-induced heart  
failure. Once the constitutive equation for the myocardium is es-  
tablished, the effect of therapeutic changes on regional geometry  
(i.e., surgical remodeling) and/or material properties (i.e., medi-  
cine, gene therapy, and cell therapy) can be evaluated and the  
success or failure of a proposed therapy predicted. With clinical  
experience, such a method could be used as a diagnostic modality  
to risk stratify patients early after a myocardial infarction who are

<sup>1</sup>Corresponding author; e-mail: guccionej@surgery.ucsf.edu

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at risk for adverse remodeling and the development of heart failure.

It is impossible to determine myocardial material properties (in the form of three-dimensional constitutive equations) from ventricular pressure-volume relations alone. Instead, our laboratory recently used cardiac catheterization, magnetic resonance (MR) imaging with myocardial tissue tagging [1], MR diffusion tensor imaging [2], and a finite element (FE) method (developed specifically for cardiac mechanics [3]) to measure regional systolic myocardial material properties in the beating hearts of four sheep with left ventricular (LV) aneurysm [4] and six sheep with LV aneurysm repaired surgically [5]. With knowledge of these myocardial material properties, we were able to quantify the effect of aneurysm plication on regional myocardial stress distributions. The 3D stress distributions in the myocardium are important to regional ventricular function because both regional coronary blood flow [6] and myocardial oxygen consumption [7] are influenced by ventricular wall stress [8]. Changes in ventricular wall stress are believed to be stimuli for hypertrophy and remodeling [9]. There have been no successful methods developed to measure stress in the intact heart wall—primarily because of its large deformations and the tissue injury caused by implanted transducers [10].

Although our previous studies [4,5] represent significant advancements in FE modeling of hearts with myocardial infarction, because of long computation times, they both employed a manually directed pseudo-optimization. In other words, a formal nonlinear optimization of material constants was not feasible. The objective of the present study is to describe a method to formally optimize regional myocardial contractility in vivo that is at least an order of magnitude faster than that used in our previous studies.

## 2 Methods

The sheep used in this study were treated in compliance with the “Guide for the Care and Use of Laboratory Animals” prepared by the Institute of Laboratory Animal Resources, National Research Council, and published by the National Academy Press (revised 1996).

**2.1 Experimental Measurements.** Data collected from one male adult sheep [11] were used to demonstrate methodology and accuracy of the FE optimization tool. Briefly, the sheep underwent antero-apical myocardial infarct following the procedures described in Ref. [12]. At 14 weeks postmyocardial infarction, a series of orthogonal short- and long-axis tagged MR images was acquired as described in detail previously [11]. The tags were laid down at end of diastole by synchronization to the R-wave of the electrocardiographic (ECG) signal, and tagged images were captured as the heart continues through systole. The LV pressure was measured with a nonferromagnetic transducer-tipped pressure catheter (model SPC-320; Millar Instruments, Houston, TX) inserted into the LV via sterile neck incisions as described previously [11]. The end-diastolic (ED) and end-systolic (ES) LV pressures ( $P_{ED}$ ,  $P_{SD}$ ) recorded were used to define the endocardial boundary conditions of the FE model.

A customized version of the MR image tagging postprocessing software, FINDTAGS (Laboratory of Cardiac Energetics, National Institutes of Health, Bethesda, MD) was used to contour the endocardial and epicardial LV surfaces and also to segment the systolic tags for each image slice [13]. Systolic myocardial strains (six Lagrangian Green’s strain tensor components in cylindrical coordinates, circumferential, longitudinal, and radial) at midwall and around the circumference in each short-axis slice were calculated from tagline deformation using the four dimensional B-spline-based motion tracking technique [14] (Fig. 1).

**2.2 FE Model.** A FE model was created using early diastole as the initial unloaded reference state since the LV pressure is lowest at this point, and therefore stress is at a minimum. From the LV contours at early diastole, aneurysm, remote, and border-

zone regions were determined based on the ventricular wall thickness. Specifically, the borderzone region is defined as the steep transition in wall thickness between remote and aneurysm regions [15]. Surface meshes were then created from the LV contours to replicate the in vivo geometry (RAPIDFORM, INUS Technology, Inc., Sunnyvale, CA). The spaces between the endocardium and epicardium surfaces were filled with eight-noded brick elements with a single integration point for computational efficiency to generate a volumetric mesh that is refined into three elements transversally (TRUEGRID, XYZ Scientific Applications, Inc., Livermore, CA). Each zone, remote, borderzone, and infarct, was assigned different material properties. The inner endocardial layer was lined with a layer of shell elements that extends to LV base to form an enclosed volume for LV volume measurements. The shell elements were modeled as an extremely soft linearly elastic material (Young’s modulus of  $1 \times 10^{-10}$  kPa and Poisson’s ratio of 0.3) that offered no mechanical response. The reliability of the model predictions was tested with a mesh convergence study to find the minimum number of elements needed to produce accurate results within the fastest computation time. The mesh convergence study determined that 2496 elements are required, and further mesh refinement only results in a 1% change in strain predictions (Fig. 2).

Cardiac myofiber angles of  $-37$  deg,  $23$  deg, and  $83$  deg were assigned at the epicardium, midwall, and endocardium, respectively, in the remote and borderzone regions [16]. At the aneurysm region, fiber angles were set to  $0$  deg in order to use experimentally determined aneurysm material parameters with respect to this direction [17]. In other words, the constitutive equation for the aneurysm is in terms of strain components referred to cardiac (i.e., circumferential and longitudinal) coordinates instead of fiber coordinates. Nodes at the LV base were restricted to displace horizontally, and circumferential displacements were constrained at the basal epicardial nodes. The inner endocardium wall was loaded to the measured in vivo end-diastolic and end-systolic LV pressures. Surface meshes and subsequent volumetric meshes were also created from the LV contours at end-diastole and end-systole to provide the end-diastolic and end-systolic LV volumes.

**2.3 Constitutive Model.** Nearly incompressible, transversely isotropic, hyperelastic constitutive laws for passive [18] and active myocardium [19] were modeled in a user-defined material subroutine in the explicit FE solver, LS-DYNA (Livermore Software Technology Corporation, Livermore, CA). The passive myocardium mechanics is described by the strain energy function,  $W$ , that is transversely isotropic with respect to the local fiber direction,

$$W = \frac{C}{2} (\exp^{b_f E_{11}^2 + b_l (E_{22}^2 + E_{33}^2 + E_{23}^2 + E_{32}^2) + b_{fs} (E_{12}^2 + E_{21}^2 + E_{13}^2 + E_{31}^2)} - 1) \quad (1)$$

where  $C$ ,  $b_f$ ,  $b_l$ , and  $b_{fs}$  are diastolic myocardial material parameters.  $E_{11}$  is the strain in fiber direction,  $E_{22}$  is the cross-fiber in-plane strain,  $E_{33}$  is the radial strain transverse to the fiber direction, and the rest are shear strains.

Systolic contraction was modeled as the sum of the passive stress derived from the strain energy function and an active fiber directional component,  $T_0$ , which is a function of time,  $t$ , peak intracellular calcium concentration,  $Ca_0$ , sarcomere length,  $l$ , and maximum isometric tension achieved at the longest sarcomere length,  $T_{max}$  [19].

$$\mathbf{S} = p\mathbf{J}\mathbf{C}^{-1} + 2J^{-2/3}\text{Dev}\left(\frac{\partial \tilde{W}}{\partial \mathbf{C}}\right) + \mathbf{T}_0\{t, Ca_0, l, T_{max}\} \quad (2)$$

where  $\mathbf{S}$  is the second Piola–Kirchhoff stress tensor,  $p$  is the hydrostatic pressure introduced as the Lagrange multiplier needed to ensure incompressibility and was calculated from the bulk modulus of water,  $J$  is the Jacobian of the deformation gradient tensor,  $\mathbf{C}$  is the right Cauchy–Green deformation tensor, and  $\text{Dev}$  is the deviatoric projection operator,

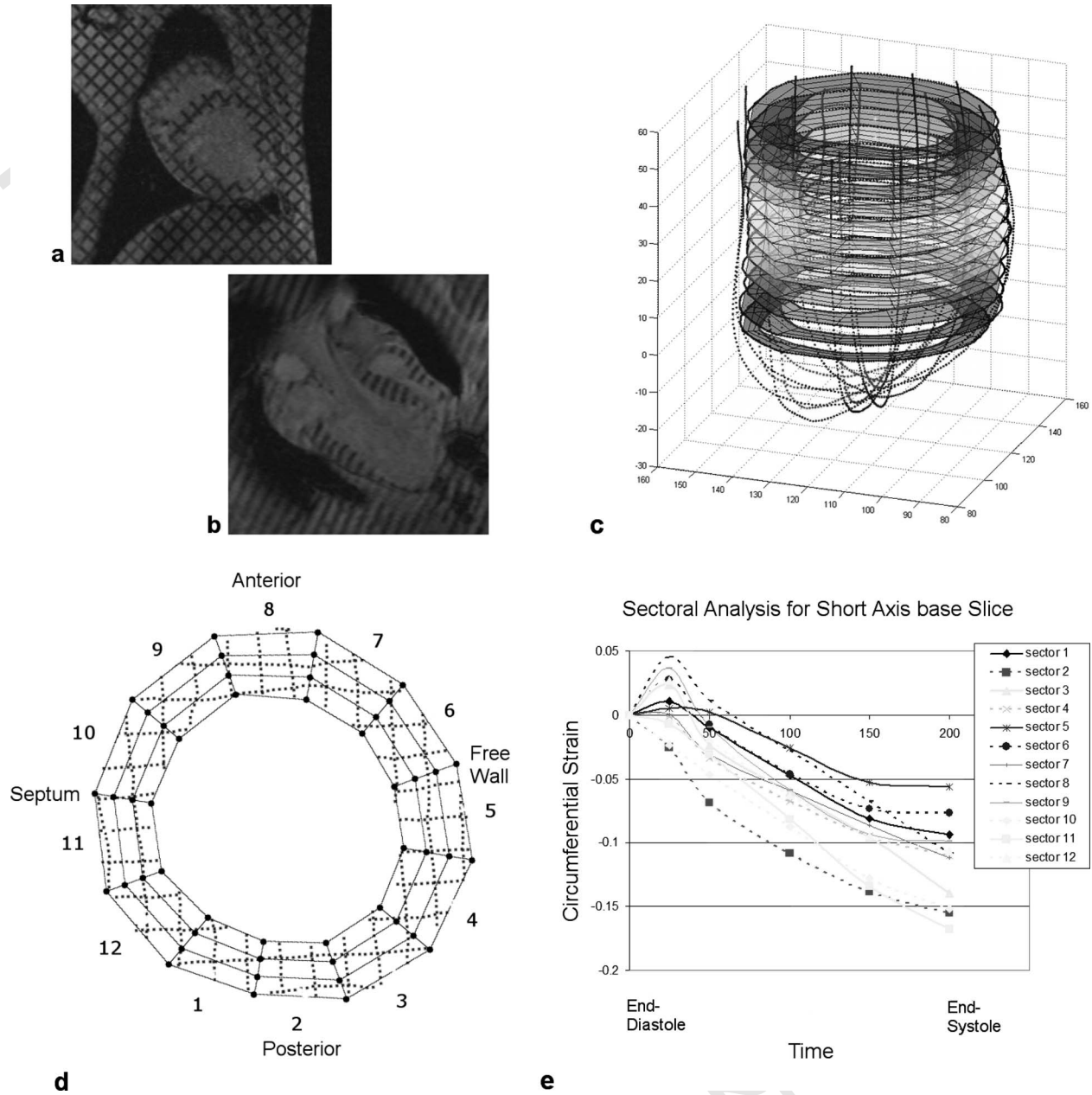


Fig. 1 3D cardiac strain analysis from in vivo tagged MR images. Endocardial and epicardial contours, as well as segmented taglines, were traced from (a) short- and (b) long-axis MR images to create (c) a 3D geometry. (d) Each short-axis slice was divided into 12 sectors and a 4D B-spline-based motion tracking technique was applied to the tagline (dotted lines) deformations in order to calculate the Lagrangian Green's strains in cylindrical coordinates. For each sector of each short-axis slice, longitudinal, radial, (e) circumferential, and shear strains throughout systole were determined.

$$\text{Dev}(\cdot) = (\cdot) - \frac{1}{3}([\cdot]:\mathbf{C})\mathbf{C}^{-1} \quad (3)$$

$\tilde{W}$  is the deviatoric contribution of the strain energy function,  $W$  (Eq. (1)). The assumption of near incompressibility of the myocardium requires the decoupling of the strain energy function into dilational and deviatoric components,

$$W = U(J) + \tilde{W}(\tilde{\mathbf{C}}) \quad (4)$$

where  $U$  is the volumetric contribution.

The active fiber directional stress component is defined by a time-varying elastance model, which at end-systole is reduced to [20]

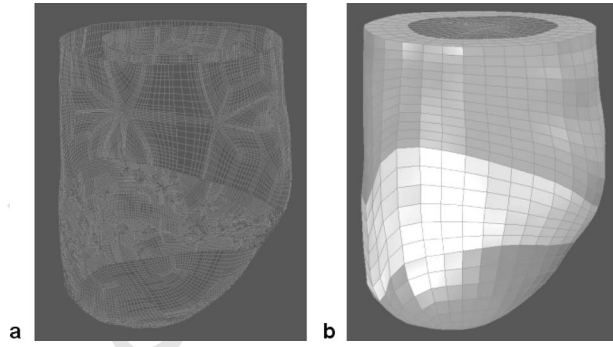
$$T_0 = \frac{1}{2}T_{\max} \frac{Ca_0^2}{Ca_0^2 + ECa_{50}^2} \left( 1 - \cos\left(\frac{0.25}{ml_R \sqrt{2E_{11} + 1 + b}} + 1\right)\pi \right) \quad (5)$$

with  $m$  and  $b$  as constants, and the length-dependent calcium sensitivity,  $ECa_{50}$ , is given by

$$ECa_{50} = \frac{(Ca_0)_{\max}}{\sqrt{\exp[B(l_R \sqrt{2E_{11} + 1} - l_0)] - 1}} \quad (6)$$

where  $B$  is a constant,  $(Ca_0)_{\max}$  is the maximum peak intracellular calcium concentration,  $l_0$  is the sarcomere length at which no active tension develops, and  $l_R$  is the stress-free sarcomere length.





**Fig. 2** Creation of the FE model of the LV using geometry from in vivo tagged MR images. Endocardial and epicardial contours extracted from (Fig. 1(a)) short- and (Fig. 1(b)) long-axis MR images were used to generate (a) a surface mesh with three distinct LV regions (remote, borderzone, and aneurysm). The boundaries between these three LV regions are based on wall thickness. The surface meshes provide projection surfaces for (b) the volumetric mesh, which is refined into three elements transversally. A layer of shell elements line the endocardial surface and cap off the top of the LV to form a closed volume for LV volume measurements.

The material constants for active contraction were found to be [21]  $Ca_0=4.35 \mu\text{mol/l}$ ,  $(Ca_0)_{\max}=4.35 \mu\text{mol/l}$ ,  $B=4.75 \mu\text{m}^{-1}$ ,  $l_0=1.58 \mu\text{m}$ ,  $m=1.0489 \text{ s } \mu\text{m}^{-1}$ ,  $b=-1.429 \text{ s}$ , and  $l_R$  was set at  $1.85 \mu\text{m}$ , the sarcomere length in the unloaded configuration. Based on the biaxial stretching experiments [22] and FE analyses [4,23], cross-fiber in-plane stress equivalent to 40% of that along the myocardial fiber direction was added. Since tagged MR images were acquired during systole, only systolic myocardial strains could be determined. This meant only systolic material parameters,  $T_{\max}$ , in remote ( $T_{\max_R}$ ) and borderzone regions ( $T_{\max_B}$ ), could be optimized.  $T_{\max}$  in the aneurysm region was set to zero as the coronary ligations were permanently put in place to create dyskinetic infarcts. Diastolic material parameters,  $b_f$ ,  $b_r$ , and  $b_{fs}$ , were set to the average optimized values for sheep obtained previously from Walker et al. [4]:  $b_f=49.25$ ,  $b_r=19.25$ , and  $b_{fs}=17.44$ .  $C$  in the aneurysm region ( $C_f$ ) was defined as ten times stiffer than that in the remote ( $C_R$ ) [4].  $C_R$  was determined by calibrating it such that the predicted end-diastolic LV volume matched the measured value. Initial ranges for  $T_{\max_R}$  were between 0.1 kPa and 1000.0 kPa, and between 0.1 kPa and 500.0 kPa for  $T_{\max_B}$ .

**2.4 Material Parameter Optimization.** The commercial FE optimization software, LS-OPT (Livermore Software Technology Corporation, Livermore, CA), uses a systematic search methodology to automatically explore the parameter space and find an optimum design [24]. In this application, the optimum design is the ideal myocardial material parameters that will produce 3D myocardial strains that come closest to matching those measured in vivo. LS-OPT is based on the successive response surface method (SRSRM). It works by first selecting experimental design points, which consist of systolic myocardial material parameters,  $T_{\max_R}$  and  $T_{\max_B}$ , as components within their specified ranges (Fig. 3(a)). The selection process is based on the design of experiments approach using the  $D$ -optimality criterion [25]. This method uses a subset of all the possible design points as a basis to solve

$$\min|\mathbf{X}^T\mathbf{X}|$$

where  $\mathbf{X}$  is the coefficient matrix of the normal equations:

$$\mathbf{X}^T\mathbf{X}\hat{\mathbf{a}} = \mathbf{X}^T\mathbf{y}.$$

The vector  $\hat{\mathbf{a}}$  represents the coefficients of the basis functions (in this case linear monomials), and vector  $\mathbf{y}$  represents the computed

values (e.g., strain or volume values) at all the experimental design points.

The subset is usually selected from an  $\ell^n$ -factorial design, where  $\ell$  is chosen a priori as the number of grid points in any particular dimension, and  $n$  is the number of variables. A genetic algorithm is used to solve the resulting discrete maximization problem. The proper selection of experimental points results in the most accurate response surface and therefore a faster convergence rate and greater accuracy of the optimization solution [24].

Using the selected experimental points, FE simulations were performed and the strains and LV volumes were calculated (Fig. 3(b)) for all points. A linear response surface was then fitted to each strain and volume by means of a least-squares fitting method. The approximate MSE is defined as the difference between the response surface predicted and experimental results (end-diastolic and end-systolic volumes and strains).

$$\begin{aligned} \text{MSE} = & \sum_{n=1}^N \sum_{\substack{i=1,2,3; \\ j=1,2,3; \\ i \neq 3 \& j \neq 3}} (E_{ij,n} - \bar{E}_{ij,n})^2 + \left( \frac{V_{\text{ED}} - \bar{V}_{\text{ED}}}{\bar{V}_{\text{ED}}} \right)^2 \\ & + \left( \frac{V_{\text{SD}} - \bar{V}_{\text{SD}}}{\bar{V}_{\text{SD}}} \right)^2 \end{aligned} \quad (7)$$

where  $n$  is the in vivo strain point,  $N$  is the total number of in vivo strain points,  $E_{ij,n}$  are the predicted strains at each strain point, and  $V_{\text{ED}}$  and  $V_{\text{SD}}$  are the predicted end-diastolic and end-systolic LV volumes, respectively. The overbar represents the experimental in vivo measurements. Strain in the radial direction,  $E_{33}$ , is excluded as it cannot be measured with sufficiency accuracy with tagged MR images [26,27]. The goal of the optimization is to minimize the MSE.

The leap-frog dynamic trajectory method was applied toward the minimization of the MSE constructed from these response surfaces to determine the optimum parameter set [28–30] (Fig. 3(c)). This optimum then becomes the initial experimental point for the next iteration. The range for each parameter was adjusted by either contraction or translation, or both depending on how close the current optimum is to the previous one and the degree of oscillation of the optimization solution across the iterations [24]. For the next subregion of parameter space, the  $D$ -optimality criterion was applied again to find a new set of experimental points for the response surface method (RSM) to be applied once more [31]. By repeating these steps for each successive iteration, subregions of the parameter space were reduced and shifted until a final optimum design was found (Fig. 4). LS-OPT is also able to compute confidence intervals of the optimized material parameters in order to assess their reliability [24]. Figure 5 summarizes the methodology.

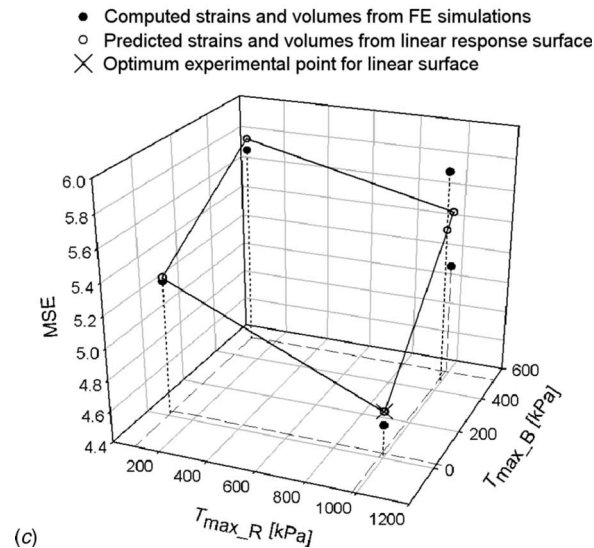
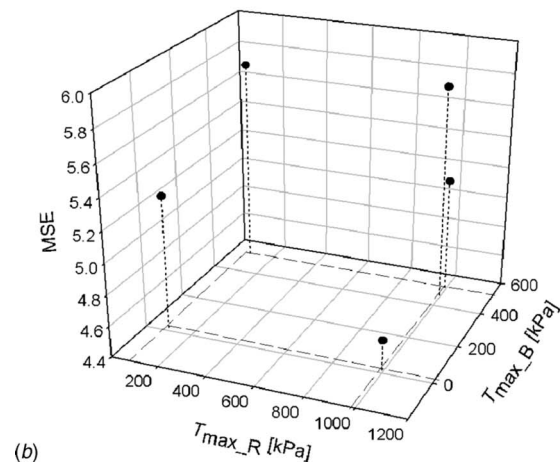
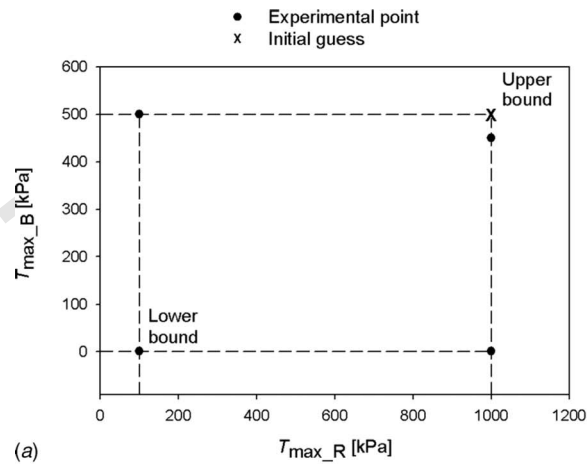
It is important to note here that a two-step process was required to compute Green's strain referred to the end-diastolic state. Using index notation, the Green's strain to be compared with the experimentally measured values is defined as

$$2E_{ij} = F_{mi}F_{mj} - \delta_{ij} = \frac{\partial x_m}{\partial Y_i} \frac{\partial x_m}{\partial Y_j} - \delta_{ij} \quad (8)$$

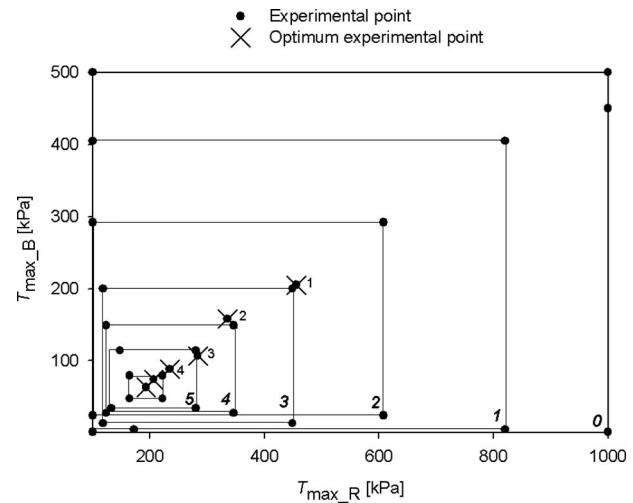
where  $\mathbf{F}$  is the deformation gradient tensor,  $\delta$  is the Kronecker delta,  $\mathbf{Y}$  refers to the end-diastolic state, and  $\mathbf{x}$  to the end-systolic state. Each run starts from a given undeformed state  $\mathbf{X}$ , so that the deformation gradient referred to ED can be computed as

$$F_{mi} = \frac{\partial x_m}{\partial Y_i} = \frac{\partial x_m}{\partial X_K} \frac{\partial X_K}{\partial Y_i} = F_{mK} F_{iK}^{-1} \quad (9)$$

where  $F_{mK}$  and  $F_{iK}$  are the deformation gradient components at the ED and ES phases, respectively, both referred to the unde-



**Fig. 3** A graphical representation of the RSM optimization approach employed by LS-OPT. (a) For the optimization of two parameters, five experimental points including the initial guess were selected using a *D*-optimal method bounded by their respective upper and lower limits. (b) FE simulations were performed at each experimental point and the strains and volumes for each point were calculated. (c) A linear response surface was fitted onto the strains and volumes using a least-squares fit method. The MSE was then computed using the predicted and experimental strain and volume values. The optimum design for the resulting approximate MSE was determined by the minimization of the response surface using the leap-frog algorithm.



**Fig. 4** A graphical representation of the SRSM. From the initial experimental points and parameter space bounded by the ranges of the two parameters,  $T_{\max_R}$  and  $T_{\max_B}$ ; the first implementation of the RSM produced an initial response surface and its optimum. This optimum becomes the starting experimental point for the next iteration with a narrower subregion of the parameter space. Repeating the RSM for each successive design iteration reduced and shifted the subregions of the parameter space until a final optimum was found. For clarity, only the first five iteration out of the ten total is illustrated.

formed configuration X.

The executable “mri2lso”<sup>1</sup> (which reads a text file containing the measured 3D strain data in simple tabular format and converts it to an LS-OPT command file) is part of the LS-OPT distribution.

### 3 Results

The pressure catheter recorded  $P_{ED}$  and  $P_{SD}$  at 12.89 mm Hg and 101.59 mm Hg, respectively. These recorded pressures were offset by the minimum recorded LV pressure of 2.04 mm Hg to get  $P_{ED}$  of 10.85 mm Hg and  $P_{SD}$  of 99.55 mm Hg, which were applied to the inner endocardium layer in order to create an initial stress-free model.  $C_R$  was calibrated to be 0.95 kPa and  $C_I$  defined as ten times stiffer at 9.5 kPa, such that  $V_{ED}$  was accurately predicted at 123.6 ml with the measured value at 123.4 ml.

The minimum MSE of 4.17, consisting of 960 strains and 2 LV volume data points, was reached in ten iterations. The optimized  $T_{\max_R}$  and  $T_{\max_B}$  for this sheep are 190.1 kPa and 60.3 kPa, respectively, with 90% confidence intervals at 14.9% and 16.9%, respectively. The precision of the optimized parameters brought on by the narrowing of the parameter space over the ten iterations (Fig. 6).  $V_{SD}$  was also accurately predicted at 110.8 ml, only 4.9% higher than the measured value of 105.6 ml. The predicted systolic strains using the optimized material parameters were in generally decent agreement with the in vivo measured strains. The insertion points of the right ventricle (RV) to the LV showed the largest difference between the measured and predicted strains since the RV was not included in the model. Figure 7 illustrates the similarities in the circumferential strains that were measured in vivo from tagged MR images and predicted from this FE model. The root mean square (rms) error for the circumferential strain component between the 137 pairs of measured and predicted strains in the remote zone was 0.048, and in the borderzone the rms error was 0.070 with 55 pairs of strain points.

The optimization process was performed once using the upper bounds of the parameters as the initial guess and repeated using the lower bounds as the initial guess. Both optimizations with

<sup>1</sup><http://ftp.lstc.com/user/lsopt>.

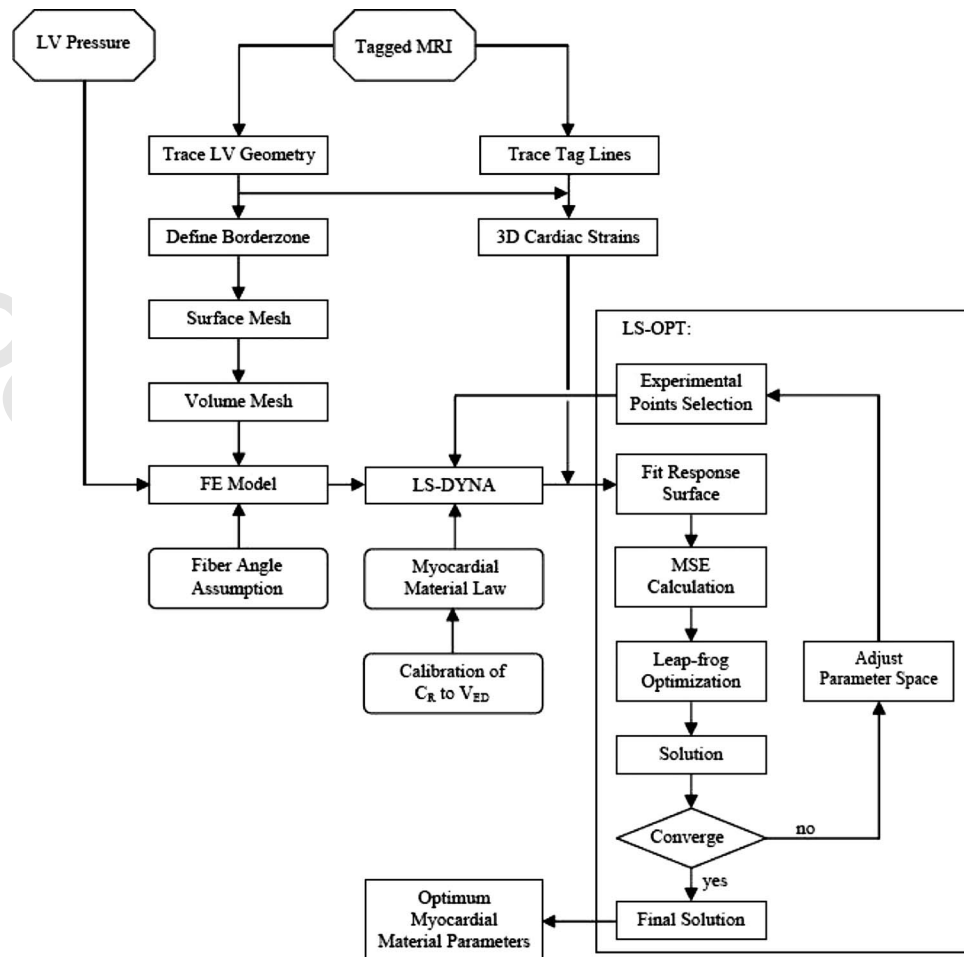


Fig. 5 A flowchart illustrating the process involved in determining the optimum myocardial material parameters from tagged MR images and LV pressures from cardiac catheterization

different starting points produced the same converged results (Table 1). Each forward simulation of the FE model takes about 10 min on a single 2.4 GHz processor. For the optimization of two material parameters, five experimental points were selected using a *D*-optimal method for each iteration. The entire optimization process involving ten iterations or 50 experimental points plus 10 leap-frog optimizations required about 4.5 h on four 2.4 GHz processors.

#### 4 Discussion

A very efficient or fast method was developed in order to formally optimize regional myocardial contractility from tagged MR images and cardiac catheterization pressures. Our approach was demonstrated for data from a single sheep, 14 weeks after anteroapical myocardial infarction. The proposed method involves performing FE simulations using the customized commercial FE solver (LS-DYNA) that was programed with the passive and active myocardial material laws. The forward FE solutions are fed into the optimization software (LS-OPT), which was customized to determine the systolic myocardial material parameters using the SRSF approach by targeting the in vivo systolic strains and LV volumes. The in vivo systolic strains and LV volumes were determined from tagged MR images, which also provided the LV endocardial and epicardial contours that were used to generate the FE model. Finite element model loading conditions were obtained from cardiac catheterization measurements of LV pressures.

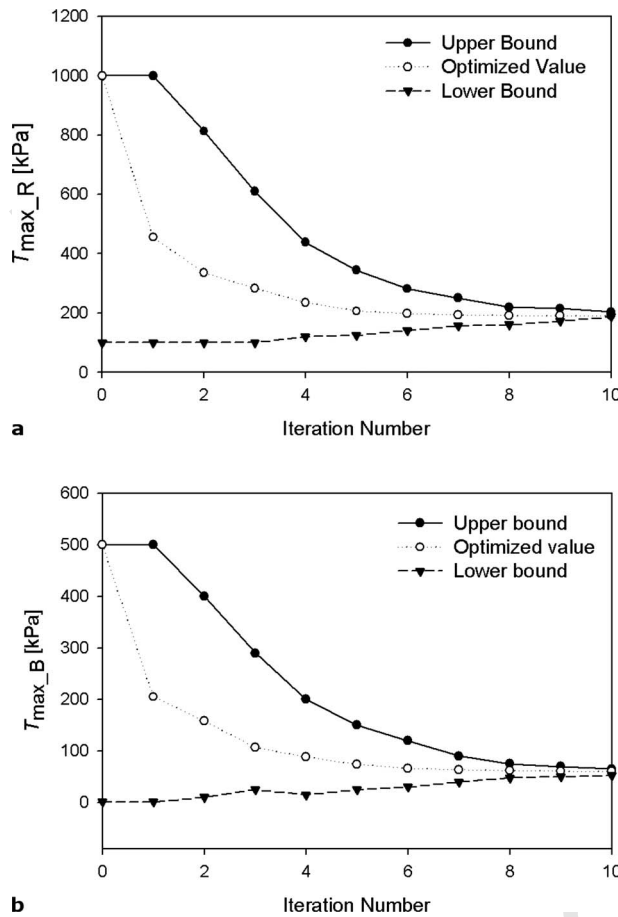
**4.1 Validation of the Method.** In order to really validate our method for estimating regional myocardial contractility in vivo,

$T_{\max,R}$  and  $T_{\max,B}$  need to be estimated independently using another method (preferably one in which forces can be directly measured). We have had great difficulty in making comparisons of  $T_{\max,R}$  and  $T_{\max,B}$  obtained in the same hearts with our biaxial stretcher because LV regions that are thick enough for tagged MRI are too thick for biaxial testing, and LV regions that are thin enough for biaxial testing are too thin for tagged MRI. This forced us to seek another method, which involves measurement of active stress developed in “skinned” (demembrated using Triton-X) ovine LV myofibers over a range of calcium concentrations in the muscle bath. Direct measurements of maximal force (normalized by cross-sectional area) developed by skinned myofibers dissected from remote regions of three sheep hearts with LV aneurysm (38.1±2.3 kPa) were significantly greater than that developed by skinned myofibers dissected from borderzone regions (22.2±3.0 kPa;  $p < 0.03$ ). Part of the reason why both of the optimized  $T_{\max}$  values reported in Sec. 3 ( $T_{\max,R}=190.1$  kPa;  $T_{\max,B}=60.3$  kPa) are so much greater than the skinned fiber values is the latter are fitted to a much simpler (modified Hill) equation than Eq. (5) in Sec. 2. Specifically, the modified Hill equation does not include the factor of 0.5 in front of  $T_{\max}$  nor the factor in the parentheses, the value of which depends on sarcomere length. This sarcomere length dependence can be removed from Eq. (5) by setting the parameter  $m=0$  and  $b > 2000$ . Rerunning the optimization with the modified Hill equation in place of Eq. (5) results in  $T_{\max,R}=100.0$  kPa and  $T_{\max,B}=36.3$  kPa. It is not difficult to explain the higher in vivo contractility than ex vivo contractility in terms of tissue damage caused by the dissection process. Never-

AQ: #3

AQ: #4



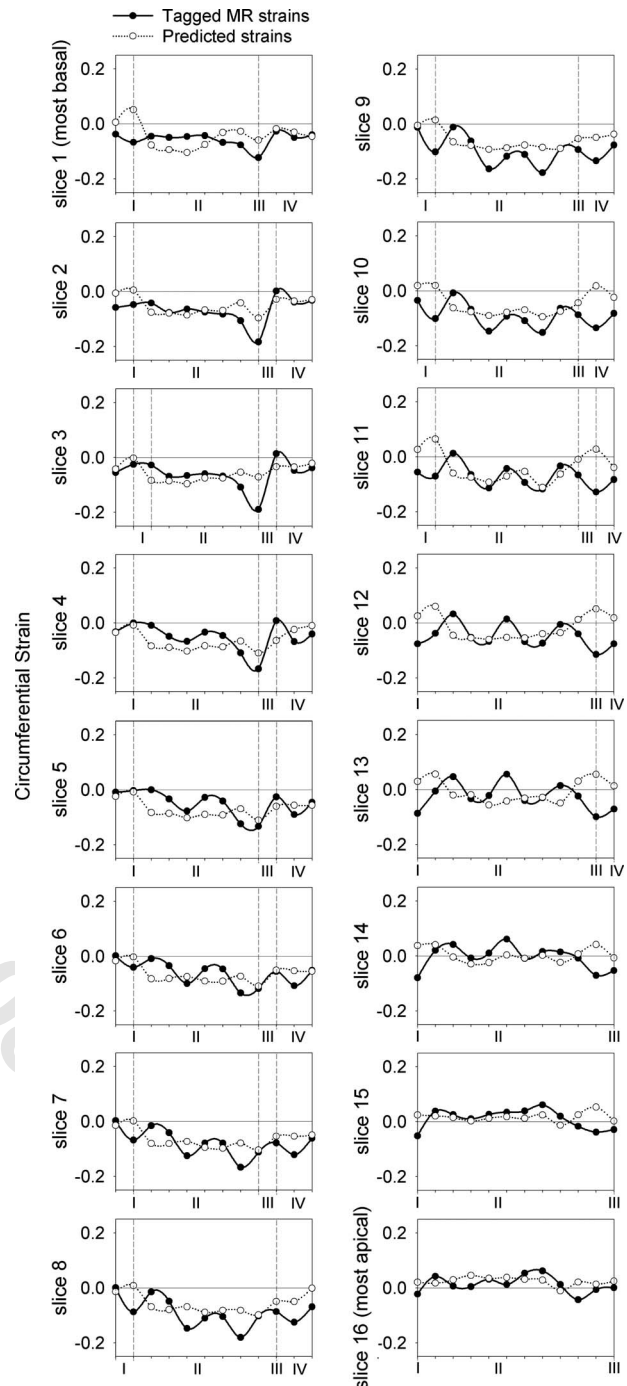


**Fig. 6** The narrowing of the parameter space for the systolic parameters,  $T_{\max_R}$  and  $T_{\max_B}$ , with each iteration resulted in a precise final converged optimum

theless, the significant depression in optimized  $T_{\max_B}$  relative to  $T_{\max_R}$  was confirmed by direct ex vivo force measurements from skinned fiber preparations.

## 4.2 Sensitivity Analysis

**4.2.1 Effect of Passive and Active Parameters Specified on  $T_{\max}$ .** To estimate how sensitive the model formal optimization is to the specified passive material parameter values, simulations were rerun after increasing or decreasing by 10% (one-at-a-time) the values specified in Sec. 2 for  $b_f$ ,  $b_l$ , and  $b_{fs}$ . The greatest sensitivities of the optimized  $T_{\max_R}$  or  $T_{\max_B}$  to these three passive material parameters were only a 5.6% increase in  $T_{\max_R}$  in response to a 10% decrease in  $b_l$  and a 2.1% decrease in  $T_{\max_B}$  in response to a 10% decrease in  $b_{fs}$ . The model formal optimization was much more sensitive to some of the specified active material parameter values, especially  $l_0$  and  $l_R$ . Specifically, a 5% increase in  $l_0$  resulted in a 32% increase in  $T_{\max_R}$  and a 5% decrease in  $l_R$  resulted in a 195% increase in  $T_{\max_R}$ . However, we are quite confident that the values specified in Sec. 2 for  $l_0$  and  $l_R$  are very well defined by experimental data in the literature. The active material parameter  $Ca_0$  also “controls” model myocardial contractility (like  $T_{\max}$ ) but instead of simply scaling active stress development, it affects the shape of the active stress versus sarcomere relationship (i.e., linear at  $Ca_0 = 2 \mu\text{mol/l}$ , “concave up” at  $Ca_0 < 2 \mu\text{mol/l}$ , and concave down at  $Ca_0 > 2 \mu\text{mol/l}$ ; see Fig. 8 of Guccione in Ref. [19]. Not surprisingly, 10% and 20% decreases in  $Ca_0$  resulted in increases of 7.7% and 18.9% in  $T_{\max_R}$  and increases of 4.6% and 10.5% in  $T_{\max_B}$ , respectively. Unfortu-



**Fig. 7** Circumferential strains predicted from the present FE model are generally in decent agreement with the values measured in vivo from tagged MR images. Slice 1 is the most basal, while slice 16 is the most apical. I is the posterior right ventricular insertion, II is the free wall, III is the anterior right ventricular insertion, and IV is the septum. The area of largest discrepancy between the measured and predicted circumferential strains is at the insertion points of the right ventricle to the left ventricle since the right ventricle was not included in the model.

nately, to the best of our knowledge, there is no way to reliably measure peak intracellular calcium concentration in the intact beating LV myocardium.

**4.2.2 Effect of Errors in Strain Measurement on  $T_{\max}$ .** To estimate how sensitive the model formal optimization is to errors in

**Table 1 Initial and converged systolic material parameters**

	$T_{\max_R}$ (kPa)	$T_{\max_B}$ (kPa)
Initial	1000.0	500.0
Converged	190.1	60.3
Initial	0.100	0.100
Converged	189.8	60.4

strain measurement, simulations were rerun after adding or subtracting fixed offsets of 0.015 and 0.03 in circumferential strain ( $E_{cc}$ ) measurements. The greatest sensitivity of the optimized  $T_{\max_R}$  or  $T_{\max_B}$  to uncertainty in circumferential strain measurement was a 112% increase in  $T_{\max_R}$  in response to a fixed decrease of 0.03 in  $E_{cc}$  and a 33% increase in  $T_{\max_B}$  in response to fixed increase of 0.03 in  $E_{cc}$ . Not surprisingly, these regional “indices” of myocardial contractility are very sensitive to the degree of systolic LV circumferential shortening.

**4.3 Relative Contributions of Terms in Objective Function (Eq. (7)).** We performed a detailed study of the effect of the relative contributions of strains and volume on  $T_{\max_R}$  and  $T_{\max_B}$  by varying the weights over a range of two orders of magnitude (LS-OPT could not handle weights below 1%). Under all (weighting) conditions  $T_{\max_R}=190$  kPa and  $T_{\max_B}=60$  kPa. The only “measurable” effect was in the third significant figure of  $T_{\max_B}$  (60.3 kPa for equal weighting versus 60.4 kPa for weighting of 98% for strain, and 1% for each of the two volumes). Moreover, the confidence intervals were equally “tight” for these two (extreme weighting) cases.

**4.4 Comparison to Previous Work.** Previous attempts at estimating myocardial material parameters from an intact heart using strains have been oversimplified by assigning nonphysiological material properties [32] and loading conditions [33]. Some studies with more realistic FE models, however, only used two strain measurements to fit for the optimum material parameters [34,35], while others that used 500–700 strain measurements for optimization required a significant amount of computing time and power [4,36]. There have also been labor intensive, trial-and-error approaches toward optimization [4,34], as well as automated optimization algorithms. The elegant study by Augenstein et al. [37] is an example of a nonlinear automated optimization procedure, which was independently validated using phantoms.

The optimization algorithms used by previous studies [32,33,36,38] were numerical gradient based, which may lead to local minima rather than the global one due to local sensitivities of the nonlinear problem and require a good initial guess to the solution. This study employed the gradient-free optimization approach called the successive response surface method, which is better able to reach the global minimum [39,40]. It is based on the iterative construction and minimization of response surfaces that have been fitted onto the residuals between the measured and FE predicted strains. The approximations avoid local minima and the shrinkage of the parameter space with each iterative response surface ensures the detailed variations of the nonlinear problem near the global minimum are captured.

The optimized myocardial contractility in the remote region was found to be 190.1 kPa, while in the borderzone the contractility was 3.15 times less at 60.3 kPa. The marked difference in contractility in the two regions is greater than the 50% reduction reported by Guccione et al. [34]. The reason for the discrepancy can be attributed to the greater accuracy and precision of the present FE model and optimization method. 960 in vivo systolic strain measurements (12 circumferentially, 16 longitudinally, 5 cylindrical strain components) and 2 LV volumes formed the objectives of optimization in this study, while the other study only used 2 strain measurements (only circumferential strains in just the

anterior and posterior borderzone regions). The more data points available for optimization, the more precise the optimized results will be. This relationship is reflected by the relatively small 90% confidence intervals for the optimized  $T_{\max_R}$  and  $T_{\max_B}$ , 14.9% and 16.9%, respectively. Guccione et al. [34] did not report any analysis on the confidence of the optimized results.

**4.5 Advantages of SRSM.** The SRSM optimization algorithm is a gradient-free approach that is suitable for highly nonlinear problems with multiple local minima. SRSM is based on the sequential optimization of the linear response surfaces constructed from the FE simulation results. The size of the successive subregions, within which the response surfaces are formed, is adapted based on contraction and panning parameters designed to prevent oscillations and premature convergence [41]. As SRSM starts with a large subregion of the parameter space that is contracted automatically as the optimum is approached, local sensitivities do not cause large departures from the previous design. This inherent adjustment limit of the parameter space in the algorithm eliminates the step-size dilemma in gradient-based optimization methods [41]. In previous myocardial material optimization studies that used numerical gradients [32,33,36,38], finite differences were taken at 5–10% interval for each material parameter. If the interval was set too large, accuracy is lost. Conversely, if the interval was set too small, the gradient may be spurious and miss the true optimum.

Compared with another gradient-free optimization approach, such as the genetic algorithm used by Nair et al. [35], SRSM is more efficient. Even though that previous study only used 2 strain measurements for optimization, 5400 FE simulations and 150 “chromosomal generations” or iterations were required for convergence, and the total computation time took 25–40 days. Although this study optimized two fewer material parameters, a much larger number of data points, 960 strain measurements, and 2 LV volumes were used for optimization, and the total computation time was only 4.5 h. The genetic method takes longer to compute probably because it adds randomness into each material parameters selection process for FE analysis in order to widen the parameter space to find the global minimum but doing so also slowed the rate of convergence.

**4.6 Limitations.** There are a few limitations in this study. First, since tagged MR images were acquired during the systolic phase, only systolic strains were calculated and therefore just the systolic myocardial material parameters can be optimized. Due to the lack of diastolic strains, the diastolic myocardial material parameters,  $b_f$ ,  $b_r$ , and  $b_{fs}$  were taken from Ref. [4], which had optimized those parameters for four sheep. The other diastolic material parameters,  $C_R$  and  $C_I$ , had to be calibrated to the measured end-diastolic LV volume. For future studies, two sets of tagged MR images acquired during diastole and systole will be required. Strain analysis will be performed on both image sets to produce diastolic and systolic strains, which will then be used separately to optimize for diastolic and systolic myocardial material parameters, respectively.

The second limitation of this study is the assumption of an initial stress-free state, which is present in all previous FE simulations of the heart based on in vivo images. Not all the blood in the heart is ejected out during systole, and it is this residual blood at early diastole that results in a small amount of stress on the heart. Our previous FE model study suggests that residual stress produced by surgical remodeling has little effect on ventricular function and regional mechanics [42].

The next limitation is with the use of transversely isotropic material properties to describe the myocardium, which is consistent with biaxial tests that observed stiffness in the muscle fiber direction is greater than in cross-fiber direction. However, histological sections of myocardium show myofibers arranged into branching laminae, implying that stiffness is lower normal to the laminar plane than within it [43,44]. Direct measurements of ma-



terial orthotropy have yet been determined due to experimental limitations of triaxial testing of excised myocardium. Orthotropic material properties will be modeled in future studies, and optimized diastolic material parameters will be obtained to determine the degree of anisotropy.

The last limitation is the absence of the RV in the FE model. Only the LV was modeled since it experiences the largest stress and strain as it has to eject blood from the heart with enough pressure to reach the rest of the body. Although the RV just needs to contract with sufficient force for the blood to reach the nearby lungs, the deformation of the RV seems to have a significant effect on the LV wall strains at the RV insertion points. This effect is evident by the largest deviations between the measured and predicted strains right at the RV insertion points. Future studies will need to include the RV in the model and strain analysis.

## 5 Conclusions

In summary, we have presented a method to formally optimize regional myocardial contractility in vivo that is at least an order of magnitude faster than that used in our previous studies [4,5]. The significant depression in optimized  $T_{\max,B}$  relative to  $T_{\max,R}$  was confirmed by direct ex vivo force measurements from skinned fiber preparations. The optimized values of  $T_{\max,B}$  and  $T_{\max,R}$  were not overly sensitive to the passive material parameters specified. The computation time of less than 5 h associated with our proposed method for estimating regional myocardial contractility in vivo makes it a potentially very useful clinical tool.

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